



Rehabilitation and  
Retraining Trust

# **Equality Screening Form**

**Title of Policy: Psychological Therapies  
Communication of Risk Policy**

**Version 2.0**

The PRRT has a statutory duty to screen. This includes our strategies, plans, policies, legislative developments and new ways of working such as the introduction, change or end of an existing service. This screening template is designed to help departments consider the likely equality impacts of their proposed decisions.

Before carrying out an equality screening exercise it is important that you have received the necessary training first. To find out about the training needed or any other queries on screening, contact Claire Murray, HR Manager at [cmurray@prrt.org](mailto:cmurray@prrt.org).

This form should also be read in conjunction with the Equality Commission's guidance entitled "Effective Section 75 Equality Assessments: Screening and Equality Assessment", available at <http://www.equalityni.org>.

**The screening template has 5 sections to complete.**

**These are:**

**Part 1. Policy scoping** – provides details about the policy / decision being screened and details the evidence that you have gathered to help make an assessment of the likely impact on equality of opportunity and good relations.

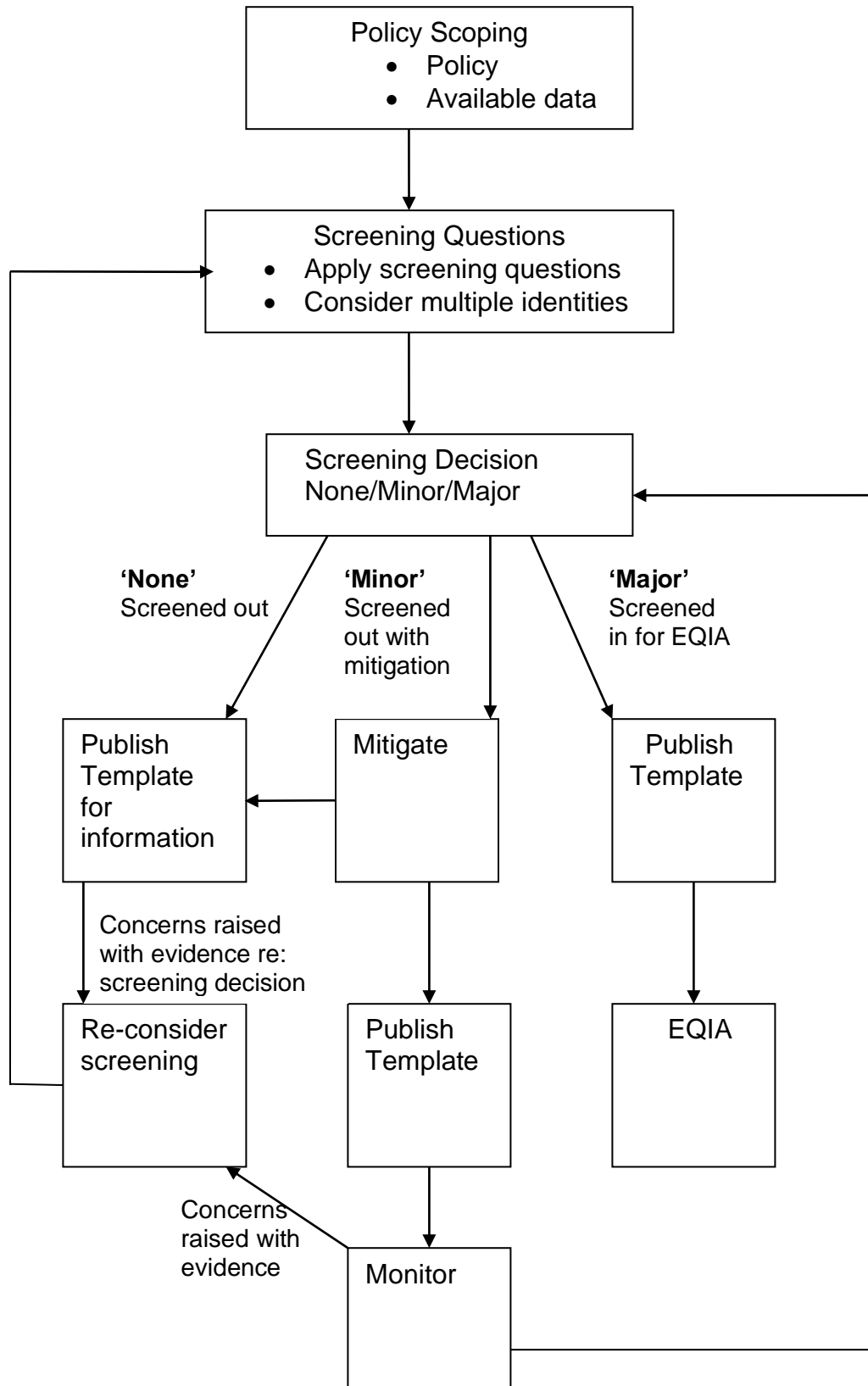
**Part 2. Screening questions** – asks about the extent of the likely impact of the policy on groups of people within each of the Section 75 categories. Details of the groups consulted and the level of assessment of the likely impact. This includes consideration of multiple identity and good relations issues.

**Part 3. Screening decision** – guides the public authority to reach a screening decision as to whether or not there is a need to carry out an equality impact assessment (EQIA), or to introduce measures to mitigate the likely impact, or the introduction of an alternative policy to better promote equality of opportunity and/or good relations.

**Part 4. Monitoring** – provides guidance to public authorities on monitoring for adverse impact and broader monitoring.

**Part 5. Approval and authorisation** – verifies the public authority's approval of a screening decision by a senior manager responsible for the policy.

A screening flowchart is provided overleaf.



## Part 1. Policy scoping

The first stage of the screening process involves scoping the policy under consideration. The purpose of policy scoping is to help prepare the background and context and set out the aims and objectives for the policy being screened. At this stage, scoping the policy will help identify potential constraints as well as opportunities and will help the policy maker work through the screening process on a step by step basis.

Public authorities should remember that the Section 75 statutory duties apply to internal policies (relating to people who work for the authority), as well as external policies (relating to those who are, or could be, served by the authority).

### Information about the policy

Name of the policy

Communication of Risk Policy\_\_\_\_\_

Is this an existing, revised or a new policy?

Existing\_\_\_\_\_

What is it trying to achieve? (intended aims/outcomes)

To ensure that risk information is communicated in an effective manner to external stake holders and all staff are aware of their roles and responsibilities in this matter.\_\_\_\_\_

Are there any Section 75 categories which might be expected to benefit from the intended policy?

If so, explain how.

No\_\_\_\_\_

Who initiated or wrote the policy?

Head of Psychological Therapies on behalf of PRRT  
Board\_\_\_\_\_

Who owns and who implements the policy?\_  
Head of Department and Deputy Heads of Service \_\_\_\_\_

## Implementation factors

Are there any factors which could contribute to/detract from the intended aim/outcome of the policy/decision?

If yes, are they

☐

financial

☐

legislative

☐

other, please specify \_\_\_\_\_

## Main stakeholders affected

Who are the internal and external stakeholders (actual or potential) that the policy will impact upon?

☒

staff

☒

service users

☐

other public sector organisations

☐

voluntary/community/trade unions

☐

other, please specify \_\_\_\_\_

## Other policies with a bearing on this policy

- what are they?

No

- who owns them?

- N/A

## Available evidence

Evidence to help inform the screening process may take many forms. Public authorities should ensure that their screening decision is informed by relevant data.

What evidence/information (both qualitative and quantitative) have you gathered to inform this policy? Specify details for each of the Section 75 categories.

It is important to record information gathered from a variety of sources such as: monitoring information, complaints, research surveys, consultation exercise from other public authorities.

<b>Section 75 category</b>	<b>Details of evidence/information</b>
Religious belief	<b>We have no evidence of the religious beliefs of our clients</b>
Political opinion	<b>We have no evidence of the political opinion of our clients</b>
Racial group	<b>We have no evidence of the racial distribution of our clients</b>
Age	<b>We collect this from our post service surveys. The majority (59%) fall in the 50-59 age bracket with 19% 49 or under.</b>
Marital status	<b>No evidence in this context</b>
Sexual orientation	<b>No Evidence</b>
Men and women generally	<b>Collected from Survey- 82% are male this reflects the make up of the RUC/PSNI at the time of service of the majority of our clients – see above</b>
Disability	<b>No Evidence at present. Evidence will be collected from April 2019 onwards. All patients are asked regarding the impact of any disability at first contact on attendance</b>
Dependants	<b>No evidence in this context</b>

## Needs, experiences and priorities

Taking into account the information referred to above, what are the different needs, experiences and priorities of each of the following categories, in relation to the particular policy/decision? Specify details for each of the Section 75 categories

<b>Section 75 category</b>	<b>Details of needs/experiences/priorities</b>
Religious belief	<b>This policy applies equally to all applicants so it is not expected to impact differently based on any section 75 category</b>
Political opinion	<b>As above</b>
Racial group	<b>As above</b>
Age	<b>As above</b>
Marital status	<b>As above</b>
Sexual orientation	<b>As above</b>
Men and women generally	<b>As above</b>
Disability	<b>The needs of disabled clients have been considered in terms of physical access and telephone access particularly in relation to hearing loss with the appropriate equipment in place</b>
Dependants	<b>As above</b>

## Part 2. Screening questions

### Introduction

In making a decision as to whether or not there is a need to carry out an equality impact assessment, the public authority should consider its answers to the questions 1-6 below.

If the public authority's conclusion is **none** in respect of all of the Section 75 equality of opportunity and/or good relations categories, then the public authority may decide to screen the policy out. If a policy is 'screened out' as having no relevance to equality of opportunity or good relations, a public authority should give details of the reasons for the decision taken.

If the public authority's conclusion is **major** in respect of one or more of the Section 75 equality of opportunity and/or good relations categories, then consideration should be given to subjecting the policy to the equality impact assessment procedure.

If the public authority's conclusion is **minor** in respect of one or more of the Section 75 equality categories and/or good relations categories, then consideration should still be given to proceeding with an equality impact assessment, or to:

- measures to mitigate the adverse impact; or
- the introduction of an alternative policy to better promote equality of opportunity and/or good relations.

### In favour of a 'major' impact

- a) The policy is significant in terms of its strategic importance;
- b) Potential equality impacts are unknown, because, for example, there is insufficient data upon which to make an assessment or because they are complex, and it would be appropriate to conduct an equality impact assessment in order to better assess them;
- c) Potential equality and/or good relations impacts are likely to be adverse or are likely to be experienced disproportionately by groups of people including those who are marginalised or disadvantaged;
- d) Further assessment offers a valuable way to examine the evidence and develop recommendations in respect of a policy about which there are concerns amongst affected individuals and representative groups, for example in respect of multiple identities;
- e) The policy is likely to be challenged by way of judicial review;
- f) The policy is significant in terms of expenditure.

**In favour of 'minor' impact**

- a) The policy is not unlawfully discriminatory and any residual potential impacts on people are judged to be negligible;
- b) The policy, or certain proposals within it, are potentially unlawfully discriminatory, but this possibility can readily and easily be eliminated by making appropriate changes to the policy or by adopting appropriate mitigating measures;
- c) Any asymmetrical equality impacts caused by the policy are intentional because they are specifically designed to promote equality of opportunity for particular groups of disadvantaged people;
- d) By amending the policy there are better opportunities to better promote equality of opportunity and/or good relations.

**In favour of none**

- a) The policy has no relevance to equality of opportunity or good relations.
- b) The policy is purely technical in nature and will have no bearing in terms of its likely impact on equality of opportunity or good relations for people within the equality and good relations categories.

Taking into account the evidence presented above, consider and comment on the likely impact on equality of opportunity and good relations for those affected by this policy, in any way, for each of the equality and good relations categories, by applying the screening questions given overleaf and indicate the level of impact on the group i.e. minor, major or none.

## Screening questions

1 What is the likely impact on equality of opportunity for those affected by this policy, for each of the Section 75 equality categories? minor/major/none		
Section 75 category	Details of policy impact	Level of impact? minor/major/none
Religious belief	This policy is not expected to impact on equality of opportunity based on section 75 categories	None
Political opinion	As above	None
Racial group	As above	None
Age	As above	None
Marital status	As above	None
Sexual orientation	As above	None
Men and women generally	As above	None
Disability	As above	None
Dependants	As above	None

2 Are there opportunities to better promote equality of opportunity for people within the Section 75 equalities categories?		
Section 75 category	If <b>Yes</b> , provide details	If <b>No</b> , provide reasons
Religious belief		No- This policy is not expected to impact on equality of opportunity based on section 75 categories so there are no opportunities to promote equality
Political opinion		No - as above
Racial group		No – as above
Age		No – as above
Marital status		No – as above
Sexual orientation		No – as above
Men and women generally		No – as above
Disability		No – as above
Dependants		No – as above

<b>3 To what extent is the policy likely to impact on good relations between people of different religious belief, political opinion or racial group? minor/major/none</b>		
Good relations category	Details of policy impact	Level of impact minor/major/none
Religious belief	No impact either directly or indirectly on good relations, between people of different religious belief, political opinion or racial group	None
Political opinion	As above	None
Racial group	As above	None

<b>4 Are there opportunities to better promote good relations between people of different religious belief, political opinion or racial group?</b>		
Good relations category	If <b>Yes</b> , provide details	If <b>No</b> , provide reasons
Religious belief		The policy is not likely to impact on good relations between people of different religious belief, political opinion or racial group so no opportunity exists to promote this
Political opinion		No - as above
Racial group		No – as above

5 Is there an opportunity to better promote positive attitudes towards people with disabilities by altering the policy or working with others in government or the wider community	
If <b>Yes</b> , provide details	If <b>No</b> , provide reasons
	No- The policy applies equally to all applicants regardless of section 75 categories

6 Is there an opportunity to encourage people with disabilities to participate in public life by altering the policy or working with others in government or the wider community?	
If <b>Yes</b> , provide details	If <b>No</b> , provide reasons
	No- The policy applies equally to all applicants regardless of section 75 categories

### Additional considerations

#### Multiple identity

Generally speaking, people can fall into more than one Section 75 category. Taking this into consideration, are there any potential impacts of the policy/decision on people with multiple identities?

*(For example; disabled minority ethnic people; disabled women; young Protestant men; and young lesbians, gay and bisexual people).*

No potential impacts based on multiple identity

Provide details of data on the impact of the policy on people with multiple identities. Specify relevant Section 75 categories concerned.

No available data

### Part 3. Screening decision

☒

#### **Screened out – No Equality Impact Assessment Necessary**

If the decision is not to conduct an equality impact assessment, please provide details of the reasons.

This policy applies equally to all section 75 categories who apply to access the psychological therapies service. This policy is based on our organisational purpose and associated legislation.

If the decision is not to conduct an equality impact assessment the public authority should consider if the policy should be mitigated or an alternative policy be introduced.

N/A

☐

#### **Screened in – Necessary to conduct a full Equality Impact Assessment**

If the decision is to subject the policy to an equality impact assessment, please provide details of the reasons.

All public authorities' equality schemes must state the authority's arrangements for assessing and consulting on the likely impact of policies adopted or proposed to be adopted by the authority on the promotion of equality of opportunity. The Commission recommends

screening and equality impact assessment as the tools to be utilised for such assessments. Further advice on equality impact assessment may be found in a separate Commission publication: Practical Guidance on Equality Impact Assessment.

## **Mitigation**

When the public authority concludes that the likely impact is 'minor' and an equality impact assessment is not to be conducted, the public authority may consider mitigation to lessen the severity of any equality impact, or the introduction of an alternative policy to better promote equality of opportunity or good relations.

Can the policy/decision be amended or changed or an alternative policy introduced to better promote equality of opportunity and/or good relations?

If so, give the **reasons** to support your decision, together with the proposed changes/amendments or alternative policy.

## Timetabling and prioritising

Factors to be considered in timetabling and prioritising policies for equality impact assessment.

If the policy has been '**screened in**' for equality impact assessment, then please answer the following questions to determine its priority for timetabling the equality impact assessment.

On a scale of 1-3, with 1 being the lowest priority and 3 being the highest, assess the policy in terms of its priority for equality impact assessment.

Priority criterion	Rating (1-3)
Effect on equality of opportunity and good relations	
Social need	
Effect on people's daily lives	
Relevance to a public authority's functions	

Note: The Total Rating Score should be used to prioritise the policy in rank order with other policies screened in for equality impact assessment. This list of priorities will assist the public authority in timetabling. Details of the Public Authority's Equality Impact Assessment Timetable should be included in the quarterly Screening Report.

Is the policy affected by timetables established by other relevant public authorities?

If yes, please provide details

## Part 4. Monitoring

Public authorities should consider the guidance contained in the Commission's Monitoring Guidance for Use by Public Authorities (July 2007).

The Commission recommends that where the policy has been amended or an alternative policy introduced, the public authority should monitor more broadly than for adverse impact (See Benefits, P.9-10, paras 2.13 – 2.20 of the Monitoring Guidance).

Effective monitoring will help the public authority identify any future adverse impact arising from the policy which may lead the public authority to conduct an equality impact assessment, as well as help with future planning and policy development.

## Part 5 - Approval and authorisation

<b>Screened by:</b>	<b>Position/Job Title</b>	<b>Date</b>
Alastair Black	Head of Psychological Therapies	30/10/20
<b>Approved by:</b>	Morna McGibbon	30/10/20
	CEO	

Note: A copy of the Screening Template, for each policy screened should be 'signed off' and approved by a senior manager responsible for the policy, made easily accessible on the public authority's website as soon as possible following completion and made available on request.